

## PRIVACY NOTICE

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- income
- account balances
- payment history
- investment experience
- risk tolerance

When you are no longer our client, we continue to share your information as described in this notice.

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Schlindwein Associates, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Schlindwein Associates, LLC share?	Can you limit this sharing?
<b>For our everyday business purposes</b> – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes</b> – to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes</b> – information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes</b> – information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For our non-affiliates to market to you</b>	No	We don't share

## PRIVACY NOTICE

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We collect your personal information, for example, when you:

- open an account
- make deposits or withdrawals from your account
- enter into an investment advisory contract
- seek advice about your investments
- tell us about your investment or retirement portfolio

We also collect your personal information from other companies.

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes-information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

### Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

***Schlindwein Associates, LLC does not share with our affiliates.***

### Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

***Schlindwein Associates, LLC does not share with non-affiliates.***

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

***Schlindwein Associates, LLC does not jointly market.***

For questions concerning this notice, please call (312) 332-1520 or email [info@sallc.com](mailto:info@sallc.com).